Introduction to Rheology in Sea Ice Models

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Outline

- Sea Ice as an important climate factor
- Rheology in Sea Ice
- Sea Ice models a brief introduction
- Recent research efforts in Sea Ice rheology
- Summary

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Sea Ice – Remote but Important

- Key component of the Earth's Climate System
 - Albedo feedback mechanism
 - Insulating effect of Atmosphere-Ocean interaction
 - Sustain of thermohaline circulation, generation of water masses

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September







http://www.nsidc.org

Sea Ice as a Hot Research Topic

- Sea Ice governs key polar processes with global impact
- Key Research Issues:
 - Rapid shrinkage of Arctic Sea Ice & growth of Antarctica Sea Ice
 - Unprecedented* warming of polar regions
 - Changing polar atmospheric circulation and ocean conditions
 - Future fate of Arctic & Antarctic Sea Ice



Sea Ice's Significance in Real Life

- Ecological impacts of Sea Ice changes
- Shipping routes through Arctic Ocean







Two German commercial vessels cross the Arctic's Northeast Passage

Multi-Scale of Sea Ice Processes



Thomas and Dieckman, Sea Ice, 2nd ed.

Observing / monitoring Sea Ice

- Satellite
 - Sea Ice emissivity modeling (SMMR/SMMI/AMSR)
 - Radar altimetry
- Buoys
 - Ice motion/growth/melt
 - ATM temperature/pressure/wind, SST, etc
- ULS (Upward Looking Sonar)
 - Sea Ice thickness, ocean conditions
- Field work, submarine, etc











Sea Ice Formation



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Micro-Structure of Sea Ice & Porosity



Porosity affects:

Compressibility, strength

10

- Premeability
- Ecological characteristics

Porosity affected by:

- Temperature
- Relative position in Ice

5 mm



Golden et al., GRL, 2007

Vertical Structure of Sea Ice



Sea Ice Thickness Distribution



- (a) FY Sea Ice in Weddell Sea
- (b) MY Sea Ice in Lincoln Sea
- (c) Second-Year Sea
 Ice on North Pole
 (summer)

Sea Ice Dynamics



Sea Ice Deformation









Sea Ice Ridges & Leads



Table 6

Key statistical values for ratios of sail width to sail height, keel width to sail width, keel width to sail height, and keel width to keel depth.

	w_k/w_s	w_s/h_s	w_k/h_s	w_k/h_k
Mean	6.75	3.75	20.91	4.85
Max	35.89	9.62	86.67	16.67
п	165	130	152	149
Standard deviation	4.60	1.88	12.93	2.65
CoV	68%	50%	62%	55%



Strub-Klein and Sudom, CRST, 2012

Importance of Sea Ice Deformation

- Generation of dense, MY Sea Ices
 - Key to the sustain of perennial ice cover in Arctic Ocean
- Generation of leads & polynya
 - Promotion of new Sea Ice growth & Atmosphere-Ocean interaction
 - Ecological significances
- Transformation of Sea Ice structure
 - Change of porosity, salinity, etc.
 - Interaction w/ Atmosphere and Ocean is affected
- Sink for kinematic energy
 - Transition to potential energy & internal energy (through friction)

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Rheology – Stress Tensor



$$\sigma = \begin{bmatrix} \sigma_{xx} & \sigma_{xy} & \sigma_{xz} \\ \sigma_{xy} & \sigma_{yy} & \sigma_{yz} \\ \sigma_{xz} & \sigma_{yz} & \sigma_{zz} \end{bmatrix}$$

Comments:

- Derived from continuum mechanics
- Normal stress & shear stress
- Symmetry of the tensor
- 2-D case for Sea Ice

Stress Tensor Transformations



$$A = \begin{bmatrix} \sigma_{11} & \sigma_{12} \\ \sigma_{21} & \sigma_{22} \end{bmatrix} \qquad B = \begin{bmatrix} \sigma_{1} & \\ & \sigma_{2} \end{bmatrix} \qquad B = \begin{bmatrix} \sigma_{I} & \sigma_{II} \\ & \sigma_{II} & \sigma_{I} \end{bmatrix}$$

$$\sigma_{1} = \sigma_{I} - \sigma_{II} \qquad \sigma_{I} = \frac{1}{2}(\sigma_{11} + \sigma_{22})$$

$$\sigma_{2} = \sigma_{I} + \sigma_{II}. \qquad \sigma_{II} = \sqrt{(\sigma_{11} - \sigma_{22})^{2} + 4\sigma_{12}^{2}}.$$

- Basic: matrix congruence
 - $P^T A P = B$
 - Matrix trace is kept
- For 2-D Stress Tensor:
 - a) Original coordinate
 - b) Principle stress coordinate
 - c) Stress invariant coordinate

Rheology – Strain Rate Tensor



$$\boldsymbol{\varepsilon} = \begin{bmatrix} \varepsilon_{11} & \varepsilon_{12} \\ \varepsilon_{21} & \varepsilon_{22} \end{bmatrix} = \begin{bmatrix} \frac{\partial u}{\partial x} & \frac{1}{2} \left(\frac{\partial u}{\partial y} + \frac{\partial v}{\partial x} \right) \\ \frac{1}{2} \left(\frac{\partial u}{\partial y} + \frac{\partial v}{\partial x} \right) & \frac{\partial v}{\partial y} \end{bmatrix}$$

$$\dot{\varepsilon}_{\rm I} = \dot{\varepsilon}_{11} + \dot{\varepsilon}_{22}$$

 $\dot{\varepsilon}_{\rm II} = \sqrt{(\dot{\varepsilon}_{11} - \dot{\varepsilon}_{22})^2 + 4\dot{\varepsilon}_{12}^2}.$

Rheology – A Pragmatic View

Continuum mechanics	Solid mochanica	Elasticity		
	Solid mechanics	Plasticity	Dhaology	
	Fluid mechanics	Non-Newtonian Fluid		
		Newtonian Fluid		



Examples of non-Newtonian fluids:

- Toothpaste
- Ketchup
- Sea Ice
- Mudslides

Basic Rheology – Viscous and Plastic



Rheology – Yield Curve for Plastics

- Yield Curve: a convex region in the stress tensor plane
 - Defines extreme values of stress when the plastic fails
 - Usually defined under stress-invariant coordinate or principal stress coordinate
 - When under stress-invariant coordinate:
 - Contains the origin
 - Symmetric over axis: σ_I
 - When containing positive side of σ_I :
 - Tensile strength is allowed
 - When containing negative side of σ_I :
 - Compressive strength is allowed
- Define function F on σ
 - Within yield curve, F < 0



General Sea Ice Rheology (1)

Characteristics

- Floe collision and breakup generates stress
- Shear friction exists between floes
- Friction generated in pressure ice formation
- Potential energy production in pressure ice formation

Implications in Rheology

- Weak floe interaction at low concentration levels
- Tensile strength is small
- Shear strength is significant
- Shear strength smaller than compressive strength
- Yield strength > 0

General Sea Ice Rheology (2)



Free Drift Scheme

- Ignore any internal forces of Sea Ice
 - No rheology in effect
- Problem:
 - No mechanism for characterizing internal stress for convergence
 - Too much Sea Ice will build up
- Corrections is applied. Set velocities to zero when:
 - Sea Ice thickness exceeds threshold
 - Thinner ice is advected towards thicker ice

Sea Ice Rheology – VP

- Viscous-Plastic (VP) proposed in [Hibler, JPO, 1979]
- Combine free-drift scheme and plastic scheme
 - Viscous behavior under small strain rate
 - Rigid, plastic behavior for large strain rate
 - Viscous law applies w/i the yield curve

$$\sigma_{ij} = 2\eta \dot{\varepsilon}_{ij} + [\zeta - \eta] \dot{\varepsilon}_{kk} \delta_{ij} - P \delta_{ij}/2$$

Choice for yield curve: ellipse

$$\zeta = P/2\Delta, \quad \eta = \zeta/e^2$$
$$P = P^*h \exp[-C(1-A)]$$
$$\Delta = \sqrt{\dot{\varepsilon}_{\rm I}^2 + (\dot{\varepsilon}_{\rm II}/e)^2}$$



Sea Ice Rheology – VP (2)

- Normal Flow Rule:
 - Strain-rate vector is normal to the yield curve at the failure point



Table 4.2. F	Parameters of	the	viscous-	plastic ice	rheology	of Hibler ((1979).	

Parameter	Notation and standard	Range
Compressive strength	$P^* = 25 \mathrm{kPa}$	10–100 kPa
Yield ellipse aspect ratio	e=2	$1 < e \ll \infty$
Compaction hardening	C=20	$C \gg 1$
Maximum creep	$\Delta_0=2 imes 10^{-9}\mathrm{s}^{-1}$	$\Delta_0 < 10^{-7} { m s}^{-1}$

Cavitating Fluid

- Proposed by (Flato & Hibler, 1990)
- Construction from VP rheology
 - Compress the ellipse on the direction of σ_{II} , to form a line
 - Do not bear any shearing
- Advantages:
 - Simple formation of momentum equations
- Disadvantages:
 - Failure to characterize shear-induced deformation

Rheology Algorithms – A Comparison

- SIMIP (1997~98)
 - 1979~1995 yrs are simulated



- Viscous-Plastic (VPM)
- Cavitating Fluid (CFM)
- Compressible Newtonian Fluid (CNF)
- Free-Drift (FDC)



Kryescher, et al., JGR, 2000

Other Plastic Rheology Schemes

- Many plastic rheology schemes exists
 - Some allow biaxial tensile strength
 - No general conclusion for which is the best

Case	Mean Model Ice Speed, cm s^{-1}	Model Bias ^b	Error SD ^c	Correlation, %
Ellipse	7.8 (6.9) [6.1]	-0.1 (0.6) [0.8]	7.1 (5.0) [4.0]	73 (80) [83]
MCE	7.4 (6.5) [5.8]	-0.5(0.2)[0.5]	7.0 (4.9) [3.9]	73 (80) [83]
Teardrop	7.1 (6.2) [5.4]	-0.8(-0.1)[0.1]	7.0 (4.9) [3.8]	72 (79) [82]
Lens	7.9 (6.9) [6.0]	-0.0(0.6)[0.7]	7.0 (5.0) [3.9]	74 (81) [83]
Teardrop 1	7.4 (6.5) [5.7]	-0.5(0.2)[0.4]	7.0 (4.9) [3.8]	73 (80) [83]
Lens 1	8.2 (7.2) [6.2]	0.3 (0.9) [0.9]	7.0 (5.0) [4.0]	75 (81) [84]

Table 3. Comparisons of Model and Buoy Velocities Based on Daily, 5-day, and 10-day Averages^a

^aThe 5-day averages are given in parentheses, and the 10-day averages are given in brackets.

^bDuring 1993–1997, there are 38,466 daily mean buoy velocities with a mean speed of 7.9 cm s⁻¹, 7348 5-day mean buoy velocities with a mean speed of 6.3 cm s⁻¹, and 3616 10-day mean buoy velocities with a mean speed of 5.3 cm s⁻¹. ^cSD is standard deviation.

Table 4. Modeled and Observed Ice Drafts Compared Along Tracks of Four Submarine Cruises in 1993–1997^a

Case	Mean Model Draft, m	Bias	Error SD	Correlation
Ellipse	2.01	0.04	0.71	0.44
MCE	2.13	0.16	0.75	0.47
Teardrop	2.01	0.04	0.69	0.51
Lens	1.91	-0.06	0.73	0.45
Teardrop 1	1.90	-0.07	0.69	0.50
Lens 1	1.83	-0.14	0.74	0.42

^aThere are 639 observed drafts with a mean of 1.97 m.



Zhang and Rothcock, JGR, 2005

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Sea Ice in Coupled Models



Glossary of Processes in Sea Ice Models

- Thermodynamics
 - Growth & melt of Sea Ice
 - Albedo & disposition of SW/LW
 - Thermal conductivity within Sea Ice
- Dynamics
 - Thickness distribution changes due to rafting/ridging
 - Sea Ice movement governed by external/internal forces
- Coupling w/ Atmosphere and Ocean
 - Momentum exchange through boundary layer processes
 - Flux exchanges:
 - Latent/sensible heat
 - Water: precipitation, evaporation, sublimation
 - Salinity (through brine expulsion)

Governing Equations in Sea Ice Models

Thickness distribution equation



Momentum equation



Contribution of Mechanical Redistribution

$$\frac{\partial g}{\partial t} = -\nabla \cdot (g\mathbf{u}) - \frac{\partial}{\partial h}(fg) + \psi$$

Mechanical Redistribution

- For convergence:
 - Fill spaces with no Sea Ice
 - Generation of thick Sea Ice ridges
 - Shift /create distribution in thicker categories
- For divergence
 - Generation of leads (i.e., open water)
 - Create/enlarge thickness distribution g(h) at h=0

Contribution of Internal Stress





Spatial Discretization of Sea Ice

- General Orthogonal Coordinates
 - Shifted Pole
 - Displaced Pole
 - Tri-pole
- Grid Staggering:
 - Arakawa B grid
 - Arakawa C grid





Solution of VP-based Momentum Eq.

- Explicit method:
 - Impractical due to limited time step size: ~10s for 100km
- Implicit method
 - Linearization & solution inearization & system is of large linear system inevitable inevitable Non-linear momentum equations to be solved

$$\begin{split} \rho h^{n-1} &\frac{\partial u^n}{\partial t} = \rho h^{n-1} f \, v^n - \tau^n_{wu} + \frac{\partial \sigma_{11}}{\partial x}^n + \frac{\partial \sigma_{12}}{\partial y}^n + r^n_{*u}, \\ \rho h^{n-1} &\frac{\partial v^n}{\partial t} = -\rho h^{n-1} f u^n - \tau^n_{wv} + \frac{\partial \sigma_{22}}{\partial y}^n + \frac{\partial \sigma_{12}}{\partial x}^n + r^n_{*v}, \\ &\sigma_{11}^n = \zeta^n \left(\frac{\partial u^n}{\partial x} + \frac{\partial v^n}{\partial y} \right) + \eta^n \left(\frac{\partial u^n}{\partial x} - \frac{\partial v^n}{\partial y} \right) - \frac{P}{2}, \\ &\sigma_{22}^n = \zeta^n \left(\frac{\partial v^n}{\partial y} + \frac{\partial u^n}{\partial x} \right) + \eta^n \left(\frac{\partial v^n}{\partial y} - \frac{\partial u^n}{\partial x} \right) - \frac{P}{2}, \\ &\sigma_{12}^n = \eta^n \left(\frac{\partial u^n}{\partial y} + \frac{\partial v^n}{\partial x} \right). \end{split}$$

*Optional implicit formulation on Arakawa C grid

Rheology Scheme – EVP

- Elastic-Viscous-Plastic model (EVP) [Hunke and Dukowicz, JPO, 1997]
 - Introduce artificial elastic term in stress tensor

$$\frac{1}{E}\frac{\partial\sigma_{ij}}{\partial t} + \frac{1}{2\eta}\sigma_{ij} + \frac{\eta - \zeta}{4\zeta\eta}\sigma_{kk}\delta_{ij} + \frac{P}{4\zeta}\delta_{ij} = \dot{\epsilon}_{ij}$$

- When elastic wave damps, real solution is attained
- Use sub-step iterations to allow explicit integration

$$\begin{split} \frac{(\sigma_{1}^{s} - \sigma_{1}^{s-1})}{\Delta t_{e}} + \frac{\sigma_{1}^{s}}{2T} &= \frac{\zeta^{s-1}(\dot{\epsilon}_{11}^{s-1} + \dot{\epsilon}_{22}^{s-1})}{T} - \frac{P}{2T}, & N_{sub} \quad * \Delta t_{e} = \Delta t \\ \frac{(\sigma_{2}^{s} - \sigma_{2}^{s-1})}{\Delta t_{e}} + \frac{e^{2}\sigma_{2}^{s}}{2T} &= \frac{\zeta^{s-1}(\dot{\epsilon}_{11}^{s-1} - \dot{\epsilon}_{22}^{s-1})}{T}, & \Delta t_{e} < \frac{4e\Delta x}{(1 + e^{2})} \left(\frac{\rho hT}{\zeta}\right)^{\frac{1}{2}}. \\ \frac{(\sigma_{12}^{s} - \sigma_{12}^{s-1})}{\Delta t_{e}} + \frac{e^{2}\sigma_{12}^{s}}{2T} &= \frac{\zeta^{s-1}\dot{\epsilon}_{12}^{s-1}}{T}, \end{split}$$

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EVP Scheme (2)

- Advantages:
 - Simple formulation with explicit integration scheme
 - Parallel machine friendly
- Widely used in Sea Ice models in climate research:
 - CICE4 hence CICE4-LASG
 - LIM3, CSIM5, etc

Mechanical Redistribution for ITD

- Use of strain rate to fill/generate open area
- Participation function: a(h) = b(h)g(h)
 - What part of the Sea Ice is involved in ridging/rafting, given *a*(*h*)
- Resulting distribution function: *n*(*h*)
 - What are the resulting thickness distribution after ridging/rafting, given g(h) and a(h)
- Conservation of volume & energy

$$b(h) = \begin{cases} \frac{2}{G^*} (1 - \frac{G(h)}{G^*}) & \text{if } G(h) < G^* \\ 0 & \text{otherwise} \end{cases}$$

Triangular profile for keel shape

ITD of Ridge follows exponential distribution

No porosity considered

$$H_{\min} = 2h_n$$
$$H_{\max} = 2\sqrt{H^*h_n}$$

$$H^*=50m$$
 for FY ice $H^*=25m$ for MY ice

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Recent Trends in Sea Ice Rheology

- Using classical VP scheme instead of EVP
- Anisotropy rheology
- Brittle rheology (instead of plastic rheology)
- Modeling of landfast Sea Ice

Choosing VP over EVP (1)

- Comparison of EVP and VP show large differences
 - Result's sensitivity to step size
 - Attributed to reduced viscosity due to EVP treatment for A ~ 1



Losch et al., Ocean Modeling, 2010

Choosing VP over EVP (2)

- Design better implicit solvers to VP
 - Nonlinear solvers can solve VP with consistent convergence
 - How to solve linear systems leaves much potential for improvements
- General solver framework
 - SOR-based [Zhang and Hibler, JGR, 1997]
 - Picard solver and JFNK solver w/ GMRES





Fig. 5. Difference between the thickness field obtained with JFRW with $\gamma_a = 0.5$ (a) or $\gamma_a = 10^{-3}$ (c) and the reference solution. Difference between the thickness field obtained with the EVP with $N_{ab} = 120$ (b) or $N_{ab} = 1220$ (d) and the reference solution. The advective time step for the JFNK and EVP solvers is 20 min. To see the details, the thickness differences are capped to ± 2.5 m.

Lemieux et al., JCP, 2012

Anisotropy in Sea Ice Modeling

- Basis: anisotropy is a dominant factor at finer scales (<10km)
 - Anisotrophy in ITD is prominent
 - Misaligned σ and $\dot{arepsilon}$
 - Divergence creates leads
 - Convergence/shearing creates ridges
- Key to Sea Ice forecast
- Extended problem:
 - Anisotrophy affects boundary layer



Figure 1. Large-scale mean ice motion and deformation of the Arctic Ocean ice cover between February 4 and February 10, 2007. The high-resolution ice deformation fields are derived from synthetic aperture radar imagery. (a) Mean vector field with superimposed sea level pressure contours (Interval: 4 hPa). (b) Divergence. (c) Vorticity. (d) Shear. Deformation computed at grid cell ~ 10 km on a side. Units: (/day).

Kwok and Sulsky, Oceanography, 2010

Anisotropy in Sea Ice Modeling (2)

- Description of anisotropy
 - Diamond-shaped floes [Wilchinsky and Feltham, J Non-Newtonian Fluid Mech., 2006]
 - Voronoi graph based floes
 - Statistical description of lead alignment





Wilchinksky et al., JGR, 2010



Problems ahead:

Tsamados et al., JGR, 2012

- Effective model integration through parameterization/simplification
- Coherency with: anisotropic at high resolution & isotropic at low resolution

Elastic Brittle (EB) Rheology

- Motivation: Deformation cascades with long-range effects
- Modeling details:
 - Finite-Element Method
 - Quasi-static condition

$$\nabla .\underline{\underline{\sigma}} + \underline{\tau_{a}} + \underline{\tau_{w}} = 0$$

Elasticity

$$\sigma_{ij} = K \underline{\underline{D}} \epsilon_{ij}, \quad \text{with } \sigma_{ij} = \begin{bmatrix} \sigma_{xx} \\ \sigma_{yy} \\ \sigma_{xy} \end{bmatrix},$$
$$\underline{\underline{D}} = \frac{1}{1 - \nu^2} \begin{bmatrix} 1 & \nu & 0 \\ \nu & 1 & 0 \\ 0 & 0 & \frac{1 - \nu}{2} \end{bmatrix} \quad \text{and } \epsilon_{ij} = \begin{bmatrix} \epsilon_{xx} \\ \epsilon_{yy} \\ \epsilon_{xy} \end{bmatrix}$$

Brittleness

$$K_0 = Yh \exp^{-\upsilon(1-c)}$$
$$K_i(n+1) = K_i(n)d_0$$



Fig. 3. Shear and divergence rate from (a) RGPS observations, (b) EB simulation and (c) VP simulation. The RGPS observations represented were obtained between 27 March and 1 April 2007. Strain rates from EB and VP simulations were computed between 27 and 30 March 2007, for a temporal scale of 3 days.

Girard et al., Annals Glaciology, 2011

Modeling Landfast Sea Ice

- Main methodology:
 - Add tensile/cohesion support to yield curve [Beatty and Holland, JPO, 2010]
 - Similar to other rheology supporting biaxial tensile forces (teardrop, etc.) $F(\sigma_1, \sigma_2) = \left(\frac{\sigma_1 + \sigma_2 + P - T}{P + T}\right)^2 + \left(\frac{\sigma_1 - \sigma_2}{P + T}e\right)^2 - 1 = 0$
- Not applied to Sea Ice models yet





FIG. 9. Number of days until landfast ice of a certain width breaks off under the influence of offshore wind.

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- Sea Ice is an integral component of climate systems
 - Albedo effect, insulation effect, etc.
- Rheology is an important factor in Sea Ice
 - Unique characteristics than atmosphere or ocean
 - Governs key Sea Ice processes: ridging, interaction w/ land, etc
- Rheology is a hot topic in Sea Ice model development
 - Much uncertainty due to heavily parameterized/idealized rheology schemes
 - High resolution points to new directions for rheology
 - High-resolution needed for Sea Ice forecast
 - Anisotropy, finite-element (irregular element based methods), etc.
 - BOTH scientific understanding & clever computational treatments are important !!!

THANKS ! ANY QUESTIONS?